



**Ministry of Water and Environment**

**Investing in Forests and Protected Areas  
For Climate-Smart Development  
Project**

**ENVIRONMENTAL and SOCIAL  
COMMITMENT PLAN (ESCP)**

**January 2020**



**Borrower: Republic of Uganda/Ministry of Finance, Planning and Economic Development**

**Implementing Agencies: Ministry of Water and Environment, National Forestry Authority, Uganda Wildlife Authority, Ministry of Tourism, Wildlife and Antiquities**

**Investing in Forests and Protected Areas for Climate-Smart Development Project**

## **ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN**

1. Republic of Uganda is planning to implement the Uganda Investing in Forests and Protected Areas for Climate-Smart Development Project (the Project), with the involvement of the following Ministries/agencies/units: Ministry of Water and Environment, Ministry of Tourism, Wildlife and Antiquities, Uganda Wildlife Authority (UWA), National Forestry Authority (NFA) and Local Government/Districts. The International Development Association (hereinafter the Association) has agreed to provide financing for the Project.
2. Republic of Uganda/Ministry of Finance, Planning and Economic Development (MOFPED) will implement material measures and actions so that the Project is implemented in accordance with the World Bank Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out a summary of the material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Republic of Uganda / MOFPED will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as the Environmental and Social Management Framework, Process Framework, and the Stakeholder Engagement Framework developed for the Project, as well as Environmental and Social Management Plans (ESMPs), and Environmental, Health and Safety Plans, etc. that will be developed for the Project.
4. The Republic of Uganda / MOFPED is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Association by Republic of Uganda/ MOFPED as required by the ESCP and the conditions of the legal agreement, and the Association will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Association and Republic of Uganda/ MOFPED, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, Republic of Uganda/ MOFPED will agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and the

Republic of Uganda/ MOFPED. The Republic of Uganda/ MOFPED will promptly disclose the updated ESCP.

7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Republic of Uganda/ MOFPED shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include environmental, health, and safety impacts, labor influx, and gender-based violence risks.

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<i>Summary of the Material Measures and Actions to Mitigate the Project's Potential Environmental and Social Risks and Impacts</i>		<i>Timeframe</i>	<i>Responsibility / Authority and Resources/Funding Committed</i>	<i>Date of Completion</i>
<b>ESCP Monitoring and Reporting</b>				
	<p><b>REGULAR REPORTING:</b>                      Prepare and submit regular monitoring reports on the implementation of the ESCP, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).</p>	Quarterly reporting throughout Project implementation	Ministry of Water and Environment Funding: project	Throughout project implementation
	<p><b>INCIDENTS AND ACCIDENTS NOTIFICATION:</b>                      In case of occurrence of an incident or accident related or having an impact on the Project which has, or are likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, the MWEMLAE shall:</p> <p>(a) as soon as reasonably practicable, but no later than five (05) calendar days after having been informed of the occurrence of such incident or accident, inform the Association by any electronic means of its nature, or circumstance and any effect or impact resulting or likely to result there from;</p> <p>(b) as soon as reasonably practicable, but no later than twenty (20) days after such incident or accident, provide the Association with a summary report that includes a description of the incident or accident, and the measures, if any, that the Republic of Uganda is taking or plans to take to address it and to prevent any future similar event; and</p> <p>(c) keep the Association informed of the on-going implementation of the said measures and plans.</p>	Promptly after taking notice of the incident or accident and at the latest 5 days after such events. For incidents classified as "serious" and "severe", notify the Association within 24 hours of such incidents occurring.	Ministry of Water and Environment Funding: project	Throughout project implementation

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	<p><b>CONTRACTORS MONTHLY REPORTS</b></p> <p>Receive, review and submit to the Association the contractors' monthly monitoring reports</p>	Monthly, within 5 days of receipt of reports from contractors	UWA and NFA through Ministry of Water and Environment (MWE) Funding: project	Throughout project implementation
SUMMARY ASSESSMENT				
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS				
1.1	<p><b>ORGANIZATIONAL STRUCTURE:</b></p> <p>Establish and maintain an organizational structure with qualified staff and resources to support management of E&amp;S risks throughout Project implementation.</p> <p>(appointment of at least one E&amp;S focal point at MWE and one E&amp;S focal point in the National Forestry Authority (NFA) and Uganda Wildlife Authority (UWA). These focal points will be responsible for the day to day implementation of ESMF and PF.</p>	Not later than by effectiveness of the financing agreement for the Project	MWE Budget: Project	Throughout project implementation
1.2	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT:</b></p> <p>Implement the Environmental and Social Framework (ESMF), the Process Framework and the Vulnerable and Marginalized Groups Framework (ESMF)</p>	Throughout project implementation	MWE Budget: Project	Throughout project implementation

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1.3	<p><b>MANAGEMENT TOOLS AND INSTRUMENTS:</b></p> <p>Screen any proposed project activity in accordance with the Environmental and Social Management Framework (ESMF) prepared for the Project, and, thereafter, draft, adopt, and implement the Environmental and Social Management Plan (ESMP), as required, in a manner acceptable to the Association.</p>	Before commencement of specific activities	MWE, NFA, and UWA Budget: Project	Throughout project implementation
1.4	<p><b>MANAGEMENT OF CONTRACTORS:</b></p> <p>Develop and implement procedures for managing contractors and subcontractors. Include systematically Environmental and Social provisions in all bidding documents, with an obligation for each contractor to report.</p> <p>Incorporate systematically the contractual obligation to prepare an Environmental Health and Safety (EHS) plan proportionate to the work scoping.</p>	Prior to the preparation of procurement documents. Supervise contractors throughout Project implementation	MWE, NFA, and UWA Budget: Project	Throughout project implementation
<b>ESS 2: LABOUR AND WORKING CONDITIONS</b>				
2.1	<p><b>LABOR MANAGEMENT PROCEDURES:</b></p> <p>Develop labor management procedures.</p> <p>Activities to be implemented by Government agencies/Districts will apply Public Service Standing Orders</p>	Labor management procedures developed and adopted prior to engaging Project workers and maintained throughout Project implementation	MWE, NFA, UWA Financing: project	Throughout project implementation

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	<p>Workers employed/contracted by NGOs and Private Companies will apply own policies. These policies will be assessed for their consistence and adequacy with the Project Labor Management Procedures and national Labour laws prior to entering implementation contract or agreements.</p> <p>Project Consultants/contracted staff will be managed in accordance with Contract terms and conditions. Contract terms and conditions will be assessed for their compliance with the project labor management procedures.</p> <p>Overall project labor management procedures will be integrated in the Project Implementation Manual</p>			
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS:</b></p> <p>Establish, maintain and operate a grievance mechanism for Project workers.</p>	Grievance mechanism operational prior to engaging Project workers and maintained throughout Project implementation	MWE, NFA, UWA Financing: project	Throughout project implementation
2.3	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES:</b></p> <p>Prepare, adopt, and implement occupational, health and safety (OHS) measures specified in the ESMP.</p>	OHS Measures operational prior to engaging Project workers and maintained throughout Project implementation	MWE, NFA, UWA Financing: project	Throughout project implementation
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>				



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3.1	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:</b></p> <p>Include in all contractor contracts requirements for proper disposal of all liquid and solid waste (including plastic trash) and construction waste, sanitation management, including management of any associated dust emission, and management of potential pollution of water sources, noise impacts during construction and operation.</p> <p>Include, in each contractor contract, a requirement to restore any newly-created borrow pits as either permanent or seasonal ponds or with contours similar to pre-project conditions.</p>	Prior to signing contractor contracts	NFA, UWA Financing: project	Throughout project implementation
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>				
4.1	<p><b>COMMUNITY HEALTH AND SAFETY:</b></p> <p>Implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities, including in relation to labor influx, specific construction risks as defined in the ESMF</p>	<p>General guidelines will be outlined in the ESMF</p> <p>Throughout project implementation</p>	MWE, UWA, NFA Funding: Project	Throughout project implementation
4.2	<p><b>GBV AND SEA RISKS:</b></p> <p>Implement measures and actions to assess and manage the risks of gender-based violence (GBV) and sexual exploitation and abuse (SEA) as outlined in the environmental and social management framework including establishing a response mechanism to eventual case i.e. referral to medical, psychosocial support, etc.</p>	Throughout project implementation	MWE, NFA and UWA Funding: project	Throughout project implementation

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4.3	<p><b>SECURITY PERSONNEL:</b></p> <p>Follow principles of the Good Practice Note on Use of Security Personnel with respect to any enforcement activities being supported under the project.</p>	Throughout project implementation	MWE, NFA and UWA Funding: project	Throughout project implementation
4.4	<p><b>TRAINING FOR THE COMMUNITY:</b></p> <p>Conduct activities for the community designed to heighten awareness of risks and to mitigate impacts specified in this section.</p>	Throughout project implementation	MWE, NFA and UWA Funding: project	Throughout project implementation
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>				
5.1	<p><b>RESTRICTION OF ACCESS:</b></p> <p>Implement livelihoods activities in communities that experience restriction of access to protected areas as a result of the project, consistent with the Process Framework</p> <p>Livelihood Restoration Activities in response to access restriction will be included in Collaborative Forest Management agreements and Collaborative Resource Use Memoranda of Understanding entered into by NFA and UWA respectively with communities adjacent to protected areas. Funding for implementation of these activities already included in project budget.</p>	Throughout project implementation	MWE, NFA and UWA Funding: project	Throughout project implementation
5.2	<p><b>MONITORING AND REPORTING:</b></p> <p>Ensure that monitoring and reporting on implementation of process framework is conducted as part of regular reporting.</p>	Throughout project implementation	MWE, NFA and UWA Funding: project	Throughout project implementation

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<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>				
6.1	<p>BIODIVERSITY RISKS AND IMPACTS:</p> <p>Screen project activities (including civil works) to avoid any significant loss or degradation of natural habitats and to avoid damaging any protected areas or critical habitats, in accordance with the project ESMF.</p>	Throughout project implementation	MWE, NFA and UWA Funding: project	Throughout project implementation
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>				

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7.1	<p><b>INDIGENOUS PEOPLES PRESENT OR COLLECTIVELY ATTACHED TO PROJECT AREA:</b></p> <p>Prepare a Vulnerable and Marginalized Groups Framework (for Batwa) - it should be based on consultations with the Batwa to date (records from REDD+ and Forest Investment Program(FIP) consultations, consultations on the Forest Management Plan for Echuya CFR and General Management Plans for the UWA sites).</p> <p>Prepared a preliminary draft VGMP by end of project appraisal.</p>	Prior to presentation of the financing for the Project for approval by the Board of Executive Directors of the Association	MWE, with inputs from NFA and UWA  Funding: project	Throughout project implementation
7.2.	<p><b>INDIGENOUS PEOPLES PRESENT OR COLLECTIVELY ATTACHED TO PROJECT AREA:</b></p> <p>Ensure that activities in / around Bwindi Impenetrable National Park, Mgahinga National Park, Semuliki National Park and Echuya Central Forest Reserve which are areas where the Batwa are present and have collective attachment to are undertaken after consultations with the Batwa groups in a culturally appropriate way and recorded in site-specific Vulnerable and Marginalized Groups Plans.</p>	Before initiating activities in four named protected areas	NFA and UWA Funding: project	Throughout project implementation
<b>ESS 8: CULTURAL HERITAGE</b>				

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8.1	CHANCE FINDS: Include a chance finds procedure in all contractor contracts	Prior to signing contractor contracts	UWA and NFA Funding: project	Throughout project implementation
8.2	CULTURAL HERITAGE: Ensure that consideration of ESS8 requirements is included in ESMPs if and as required	Prior to approval of ESMPs	UWA and NFA Funding: project	Throughout project implementation
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>				
Not applicable to the project				
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>				
10.1	<b>SEP PREPARATION:</b>  Prepare, disclose and implement a Stakeholder Engagement Plan (SEP) in accordance with the Stakeholder Engagement Framework	Prior to start of implementation of activities	MWE Funding: Project	Prior to start of implementation of activities
10.2	<b>PROJECT GRIEVANCE MECHANISM:</b>  Prepare, adopt, maintain and operate a grievance mechanism.	Prior to start of implementation of activities	MWE Funding: Project	Prior to start of implementation of activities
<b>Capacity Support</b>				
<b>Specify Training to be provided</b>		<b>Specify Targeted Groups and Timeframe for Delivery</b>		<b>Specify Training Completed</b>
Training on World Bank E&S Standards		Staff leading implementation of project supported activities in targets areas – Park Wardens (UWA), senior rangers (UWA), Sector Managers and rangers (NFA), District Forest Officers		
Information on World Bank's Safeguards Incidents Reporting Tool (SIRT) provided		All implementing agencies' staff involved in project activities		